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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/730,116	12/05/2000	Robert Manuel Carmichael	10464.6803	8544
44538 7590 DANIEL S. POLLE	02/06/2007 SV P A		EXAMINER VASUDEVA, AJAY	
1215 EAST BROW	ARD BOULEVARD			
FORT LAUDERDALE, FL 33301			ART UNIT	PAPER NUMBER
•			3617	
		,		
SHORTENED STATUTORY PER	RIOD OF RESPONSE	MAIL DATE	DELIVERY MODE	
3 MONTHS		02/06/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

	Application No.	Applicant(s)				
	09/730,116	CARMICHAEL, ROBERT MANUEL				
Office Action Summary	Examiner	Art Unit				
·	Ajay Vasudeva	3617				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply						
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
1) Responsive to communication(s) filed on 17 August 2006.						
2a)⊠ This action is <b>FINAL</b> . 2b)☐ This	<u> </u>					
3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.						
Disposition of Claims						
4) ⊠ Claim(s) 1-21 is/are pending in the application. 4a) Of the above claim(s) is/are withdray 5) ⊠ Claim(s) 9-11 is/are allowed. 6) ⊠ Claim(s) 1, 4-6,8,and 13-21 is/are rejected. 7) ⊠ Claim(s) 2,3,7 and 12 is/are objected to. 8) □ Claim(s) are subject to restriction and/or						
Application Papers						
9) The specification is objected to by the Examiner.						
10)☐ The drawing(s) filed on is/are: a)☐ accepted or b)☐ objected to by the Examiner.						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).						
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.						
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Di 5) Notice of Informal F 6) Other:					

#### **DETAILED ACTION**

#### Claim Rejections - 35 USC § 112

- 1. The following is a quotation of the second paragraph of 35 U.S.C. 112:
  - The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 2. Claims 15-21 is rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

In claim 15 (line 10), the limitations "said first section of the side release system" and "said second section of the side release system" lack proper antecedent basis.

Applicant is requested to amend the claim to make it sufficiently clear that the first and second sections are the same as the first male buckle section and the second female buckle section, respectively, as recited earlier in claim 14 (line 7).

In claim 21 (line 12), the limitations "said <u>first</u> male buckle section" and "said <u>second</u> female section" lack proper antecedent basis. It is not clear whether or not they are the same as the "a male buckle section and a female buckle section" recited earlier on line 7 of the claim.

### Claim Rejections - 35 USC § 102

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 4. Claims 1, 4-6, 8, 13-15, 18, 20 and 21 are rejected under 35 U.S.C. 102(b) as being anticipated by McNemar (US 4,877,167 A).

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McNemar shows a system comprising a buoyancy compensator shaped member [B] having a pocket [P] for storing a variety of implements, such as a dive light [22] and a knife [24]. Because each of the implements has an associated weight, the implement such as the dive light has been considered to be equivalent to a ballast member. A first strap [61] and a second strap [30] are attached proximate the pocket (see fig. 1; and col. 3, line 40 and col. 5, lines 19-21). A side release system [50] comprises a first male buckle section [53] and a second male buckle section [52], wherein the first section is attached to the first strap and the second section is attached to the second strap. The ballast member is provided with a handle [23]. Further, the ballast system of McNemar is capable of being disconnected while the ballast member is positioned within the pocket.

As noted in the previous Office actions, claims directed to an apparatus must be distinguished from the prior art in terms of structure rather than function alone (see MPEP 2114). If the prior art discloses all claimed structural limitations and is capable of performing the recited function, then it meets the requirements of the claim.

### Allowable Subject Matter

- 5. Claims 2, 3, 7, and 12 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.
- 6. Claims 16, 17 and 19 would be allowable if rewritten to overcome the rejection(s) under 35 U.S.C. 112, 2nd paragraph, set forth in this Office action and to include all of the limitations of the base claim and any intervening claims.
- 7. Claims 9-11 are allowed

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## Response to Arguments

8. Applicant's arguments with respect to claims 1, 4-6, 8 and 13-21 have been considered but are most in view of the new ground(s) of rejection.

#### Conclusion

9. Applicant's (i) amendment and/or (ii) response to a rejection under 35 USC 102(e) necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

10. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ajay Vasudeva whose telephone number is (571) 272-6689. The examiner can normally be reached on Monday-Friday 12:00 -- 5:30 pm.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, S. Joe Morano can be reached on (571) 272-6684. The fax phone number for the organization where this application or proceeding is assigned is (571) 272-66848300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Ajay Vasudeva Primary Examiner Art Unit 3617

PRIMARY EXAMINER
TECHNOLOGY CENTER 3600

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